



MARKETING

NEW ENGLAND

President Isaac Braley Ext. 343
Key Account Mgr. John Ahearn Ext. 324

MIDWEST

Regional Director David Barlow Ext. 346
ASC Matthew Brimmer Ext. 351

WEST & NEW HAMPSHIRE

Vice President Mark Romaine Ext. 317
ASC Edward Hwang Ext. 318

SOUTHEAST

Regional VP Mark Chmar Ext. 323
ASC Dennis Lovett Ext. 336

MIDSOUTH

Regional VP Mike Roan Ext. 319

GREAT LAKES

Regional VP George Platt Ext. 347
ASC Patrick Clark Ext. 362

NEW JERSEY & FLORIDA

Regional Director Alissa Burwick Ext. 326

ROCKY MOUNTAINS

Regional Director Brett Lyons Ext. 315

Our Advisory Service Consultants (ASC) can assist you with the following . . .

- General information about BTS
BTS risk-management options
Marketing materials and support
Performance data; program and client specifics
Assistance with completing BTS and custodian paperwork
Change of registered representative's or Broker/Dealer affiliation

OPERATIONS

NEW ACCOUNTS TEAM

Jonathan Ciampa (A-K) Extension 340
Dan Smith (L-Z) Extension 314

NEW ACCOUNT TEAM SUPERVISOR

Kathy Anderson Extension 313

Our New Accounts team can assist you with new and reset accounts in process and new account transfers and any queries concerning the establishment of new accounts.

CLIENT ACCOUNT MANAGEMENT TEAM

CLIENT ACCOUNT MANAGERS

Michael Begley Extension 325
Rebecca Harper Extension 332
Michael Kirk Extension 331
Richard Marciano Extension 328
Jennifer McCarthy Extension 344
Sokhorn Phy Extension 330
Dendrea Smith Extension 363
Lisa Tan Extension 338

CLIENT ACCOUNT MANAGEMENT SUPERVISOR

Julie Colucci Extension 341

Our Client Account Management team can assist you with the following . . .

- Conversions: change of program, withdrawals and additions to client accounts
Change of client account: client address, account registrations, representative management fee and fund account numbers
Renewal inquiries
Account cancellations
Client account trades

ACCOUNTING

CONTROLLER

Rachel Samuel Extension 348

STAFF ACCOUNTANTS

Kelly Delaney Extension 333
Pierrette Nyante Extension 334
Nehemie Theosmy Extension 337

Our Accounting Department can help you with commissions, client refunds, and fee liquidations.

INFORMATION TECHNOLOGY

Ian Allison Manager Extension 329
Samantha Liang Web Developer/ IT Administrator Extension 327

RECEPTION

Diane Trayah Extension 301

COMPLIANCE

CHIEF COMPLIANCE OFFICER

Gary Shilman Extension 339

COMPLIANCE ADMINISTRATOR

Jennifer Martins Extension 311

Our Compliance Team can help you with all matters concerning establishing new Broker/Dealers and representatives.

MANAGEMENT TEAM

Isaac Braley President Extension 343
Gary Shilman CCO Extension 339
Rachel Samuel Controller Extension 348
Ian Allison IT Manager Extension 329
Aurea Moreira COO Extension 310